

FORM PTO 1390 (REV 11-2000)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER 027841.0101-US00
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 CFR 1.5)
INTERNATIONAL APPLICATION NO. PCT/HU00/00061	INTERNATIONAL FILING DATES 28/06/2000	PRIORITY DATE CLAIMED 29/06/1999		
TITLE OF INVENTION METHOD FOR PREPARING POLYCARBONATE BASED BIOCONJUGATES SUITABLE FOR TRANSPORTING DIFFERENT KINDS OF ACTIVE SUBSTANCES WITHIN THE BODY				
APPLICANT(S) FOR DO/EO/US Peter Szego				
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:				
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing 35 U.S.C. 371</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))</p> <ul style="list-style-type: none"> a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2))</p> <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))</p> <ul style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</p>				
Items 11 to 20 below concern document(s) or information included:				
<p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A FIRST preliminary amendment.</p> <p>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.</p> <p>18. <input checked="" type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: Form PCT/IB/308 dated 4 January 2001; Form PCT/IB/304 dated 3 November 2000; IPER and annex dated 17 December 2001</p>				

JC03 Rec'd PCT/PTO 21 DEC 2001

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/018806		INTERNATIONAL APPLICATION NO. PCT/HU00/00061	ATTORNEY'S DOCKET NUMBER 027841.0101-US00															
17. <input checked="" type="checkbox"/> The following fees are submitted:		CALCULATIONS PTO USE ONLY																
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) – (5)): <table> <tr> <td><input type="checkbox"/></td> <td>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO</td> <td>\$1000.00</td> </tr> <tr> <td><input checked="" type="checkbox"/></td> <td>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO</td> <td>\$860.00</td> </tr> <tr> <td><input type="checkbox"/></td> <td>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO</td> <td>\$710.00</td> </tr> <tr> <td><input type="checkbox"/></td> <td>International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)</td> <td>\$690.00</td> </tr> <tr> <td><input type="checkbox"/></td> <td>International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)</td> <td>\$100.00</td> </tr> </table>				<input type="checkbox"/>	Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO	\$1000.00	<input checked="" type="checkbox"/>	International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO	\$860.00	<input type="checkbox"/>	International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO	\$710.00	<input type="checkbox"/>	International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)	\$690.00	<input type="checkbox"/>	International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)	\$100.00
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ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 890.00																		
Surcharge of \$ 130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)). \$ 130.00																		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE															
Total claims	25-20 =	5	<input type="checkbox"/> x 18 \$ 90.00															
Independent claims	1-3 =	0	<input type="checkbox"/> x \$ 0.00															
MULTIPLE DEPENDENT CLAIM(s) (if applicable)		1	<input type="checkbox"/> x 280 \$ 280.00															
TOTAL OF ABOVE CALCULATIONS = \$ 1,390.00																		
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by $\frac{1}{2}$. \$																		
SUBTOTAL = \$ 1,390.00																		
Processing fee of \$ for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). + \$																		
TOTAL NATIONAL FEE = \$ 1,390.00																		
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). Assignment must be accompanied by appropriate cover sheet (37 CFR 3.28, 3.31) + \$ (per property).																		
TOTAL FEES ENCLOSED = \$ 1,390.00																		
<table> <tr> <td><input type="checkbox"/></td> <td>Amount to be Refunded: \$</td> </tr> <tr> <td><input type="checkbox"/></td> <td>Charged: \$</td> </tr> </table>				<input type="checkbox"/>	Amount to be Refunded: \$	<input type="checkbox"/>	Charged: \$											
<input type="checkbox"/>	Amount to be Refunded: \$																	
<input type="checkbox"/>	Charged: \$																	
a. <input checked="" type="checkbox"/> A check in the amount of \$ 1,390.00 to cover the above fees is enclosed.																		
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.																		
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to my Deposit Account No. 50-0740 . A duplicate copy of this sheet is enclosed.																		
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.																		
SEND ALL CORRESPONDENCE TO: Andrea G. Reister COVINGTON & BURLING 1201 Pennsylvania Avenue, N.W. Washington, DC 20004-2401 (202) 662-5141																		
 SIGNATURE: Andrea G. Reister																		
NAME _____																		
36,253																		
REGISTRATION NUMBER _____																		

10/018806

Docket No.: 027841.0101-US00
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Peter Szego

Application No.: Not Yet Assigned

Group Art Unit: N/A

Filed: December 21, 2001

Examiner: Not Yet Assigned

For: METHOD FOR PREPARING POLYCATION
BASED BIOCONJUGATES SUITABLE FOR
TRANSPORTING DIFFERENT KINDS OF
ACTIVE SUBSTANCES WITHIN THE BODY**TRANSMITTAL LETTER**Commissioner for Patents
Washington, DC 20231

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced Patent Application:

1. Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. § 371;
2. Copy of International Publication Number WO 01/00242, the published International Application Number PCT/HU00/00061;
3. Notice Informing the Applicant of the Communication of the International Application to the Designated Offices (Form PCT/IB/308 dated 04 January 2001);
4. Notification Concerning Submission or Transmittal of Priority Document (Form PCT/IB/304 dated 03 November 2000);

5. Notification of Transmittal of the International Preliminary Examination Report (Form PCT/IPEA/416 dated 17 December 2001, including the International Preliminary Examination Report (IPER) and annexes);
6. Check No. 289112 in the amount of \$1390; and
7. Two return receipt postcards.

The enclosed copy of International Publication Number WO 01/00242 provides an English language translation of International Application No. PCT/HU00/00061 as filed.

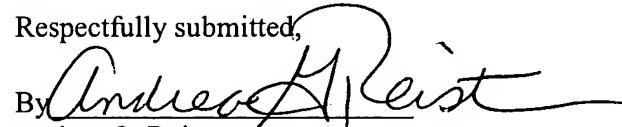
Applicant requests that national examination in the U.S. Patent and Trademark Office (PTO) as the United States Designated/Elected Office (DO/EO/US) proceed on the basis of the claims as amended under Article 34 during the international phase. As such, the pending claims for the present application are claims 1-24 as contained in the annex to the International Preliminary Examination Report, a copy of which is enclosed.

It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0740, under Order No. 027841.0101-US00. A duplicate copy of this paper is enclosed.

Dated: December 21, 2001

Respectfully submitted,

By 
Andrea G. Reister

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Attorneys for Applicant